

**JAIN VISHVA BHARATI INSTITUTE**  
**(MINIMUM STANDARDS AND PROCEDURE**  
**FOR AWARD OF PH.D. DEGREES)**  
**REGULATIONS, 2022**

Approved in Academic Council on .....20...



**JAIN VISHVA BHARATI INSTITUTE**  
**LADNUN–341306 (RAJASTHAN)**

## 1. PREAMBLE

- 1.1. In order to regulate the minimum standards and procedures for award of Ph.D. degree in conformity with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations-2022, dated 7<sup>th</sup> November, 2022 to make provisions for Ph.D. admission in different departments of the Institute. These Regulations shall come into force from .....the date of approval by the Academic Council.
- 1.2. The Institute would consider the admission for Ph.D. programmes in the subjects of Jainology and Comparative Religion and Philosophy, Prakrit and Sanskrit, Hindi, English, Yoga and Science of Living, Non-Violence and Peace, Political Science, Education and Rajasthani Language and Literature or allied subjects as per **Annexure-A (Allied Subject)**.
- 1.3. These Regulations may be called Jain Vishva Bharati Institute (Minimum Standards and Procedure for Award of Ph.D. Degrees) Regulations, 2022.
- 1.4. Those candidates who have been registered for Ph.D. before the promulgation of these Regulations would be governed by the earlier Regulations issued from time to time under which he/she had been admitted.
- 1.5. Admission shall ordinarily be conducted once in an academic year or as notified by the Institute.
- 1.6. In this Regulations, the Hindi nomenclature of Ph.D. shall be **"Vidyā Vāchaspati"** and that of D.Litt. shall be **"Vidyā Vāridhi"**.

## 2. ELIGIBILITY

### 2.1 Eligibility Criteria for Admission to Ph.D. programme:

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme:

A 1-year/2-semester master's degree programme after a 4- year/8-semester bachelor's degree programme or a 2-year/4- semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

**Or**

equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

For foreign students, educational qualifications and equivalence shall be assessed as per UGC norms, and the Institute may refer to the Study in India Portal from time to time for verification and eligibility assessment.

A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled. Relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark.

Provided that a candidate seeking admission after a 4-year/8- semester bachelor's degree programme should have a minimum of **75% marks** in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

A relaxation of 5% of marks, from 75% to 70%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled. Relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark.

**2.2** Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

### **2.3 Eligibility Criteria for Admission to D.Litt. Programme**

Ordinarily, only those applicants who have obtained Ph.D. Degree and having minimum 7 years research experience after Ph.D. with adequate publications in the form of books, research papers in peer-reviewed/refereed journals and having submitted projects will be eligible for admission to D.Litt. Only in very exceptional cases, applicants having high scholarship and have done substantial work in writing, editing & research, can also be considered for eligibility. The quality of research and publications will be assessed by two external experts of field appointed by the Vice-Chancellor from the pannel provided by the HOD. After the unanimous clearance from these, two experts. The candidate will present his / her research work before duly constituted DRC in person for registration in D.Litt. The external experts appointed for assessment of research work shall not be members of DRC. DRC will send their recommendations to the RAC for registration to D.Litt.

A candidate whose application for admission to the D.Litt. Programme has been rejected may submit a fresh application only after the expiry of one year from the date of rejection of the previous application.

### **3. DURATION OF THE PROGRAMME**

3.1. Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years from the date of admission.

3.2. A. Further, extension beyond Clause 3.1 may be granted up to a maximum period of two (2) years through the process of re-registration; however, the total period for completion of the Ph.D. programme shall not exceed eight (8) years from the date of admission.

B. Provided further that female Ph.D. scholars and Persons with Disabilities (with more than 40% disability) may be granted an additional extension of two (2) years; however, the total period for completion of the Ph.D. programme in such cases shall not exceed ten (10) years from the date of admission.

Provided that such extension/re-registration under both cases (A) and (B) shall be granted with the approval of the Vice-Chancellor, with the concurrence of the Academic Council.

Provided further that, in the case of female scholars and Persons with Disabilities (PwD), an extension of two (2) years over and above Clause 3.1 may be granted without re-registration and without payment of the prescribed re-admission fee, subject to prior approval of the Hon'ble Vice-Chancellor.

Provided further that re-registration shall be required in the 7th and 8th year in the case of all other scholars, and in the 9th and 10th year in the case of Female scholars and Persons with Disabilities (PwD), as per the approved extension period of the Ph.D. programme

- 3.3. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up-to 240 days in the entire duration of the Ph.D. programme.
- 3.4. No academic extension beyond the duration mentioned in 3.1 and 3.2 above will be allowed under any circumstances.

#### **4. CLASSIFICATION OF THE SCHOLAR**

- 4.1. All the Ph.D. and D.Litt scholars admitted and registered would be either full-time or part time scholars.
- 4.2. The Institution shall obtain a "No Objection Certificate" through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - i. The candidate is permitted to pursue studies on a part-time basis.
  - ii. His/her official duties permit him/her to devote sufficient time for research.
  - iii. If required, he/she will be relieved from the duty to complete the course work.

#### **5. APPLICATION FOR ADMISSION**

- 5.1. The total no. of seats vacant and available under various eligible supervisors in the respective departments would be announced annually and the same would be advertised accordingly on the institute's website [www.jvbi.ac.in/](http://www.jvbi.ac.in/) National/ Regional News Paper.
- 5.2. The admission shall be based on the criteria notified by the institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

- 5.3. The Institute may determine its own selection procedure for admission of international students to the Ph.D. programme, keeping in view and subject to the guidelines, norms and regulations issued by the UGC and other statutory or regulatory bodies concerned from time to time.
- 5.4. Admission to the Ph.D. programme shall be made using the following methods:
- i) The Institute may admit candidates who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET and similar National-level tests, based on an interview.
  - ii) The Institute may decide the number of eligible candidates to be called for an interview based on the number of Ph.D. seats available.
  - iii) An interview/viva-voce shall be organized by the Institute for candidates required to discuss their research interest/area through a presentation before the duly constituted research committee.
  - iv) Candidates seeking admission through the Research Eligibility Test (RET) shall be selected in accordance with the eligibility, written test, academic record and interview criteria prescribed under Clause 6 of these Regulations.
- 5.5. The candidates shall submit the duly filled in application form to the Director, Research of institute on or before the last date announced by the Institute.
- 5.6. The list of Ph.D. Supervisors along with the details of Ph.D. scholars admitted under them shall be regularly updated and displayed on the official website of Jain Vishva Bharati Institute (JVBI).

## **6. RESEARCH ELIGIBILITY TEST (RET)**

- 6.1 For candidates seeking admission through RET as per Clause 5.4, the merit list shall be based on the total marks obtained by the candidates from the following components:
- i. A written test of 3 hours duration (Only qualifying Exam, marks will not be calculated in final Merit)
  - ii. Academic Record as per clause 6.3.7,
  - iii. Personal Interview.
- 6.2. For candidates seeking admission through the direct admission route as provided under Clause 5.4(i), the merit list shall be based on the total marks obtained by the candidates from the following components:
- i. Academic Record as per Clause 6.3.7; and

ii. Personal Interview.

6.3 Written Test and Interview:

6.3.1 A candidate possessing the minimum qualifications with the requisite percentage of marks and academic record as prescribed in Clauses 2 of these regulations shall be eligible to appear in the written test.

6.3.2 The written test shall be conducted by the Controller of Examinations normally once in every academic year, the results of which shall be declared ordinarily within a month.

6.3.3 The Controller of Examinations/Director will issue a notification for Entrance Test for admission in Ph.D. programme through its website.

6.3.4 There shall be Two paper (each will be one and half hours) containing objective type questions for RET to be assessed for 300 marks, which is as follows:

- i. Research Methodology and Computer Application – 200 Marks
- ii. Concerned Subject – 100 Marks

6.3.5 The qualifying marks for clearing RET are 50% and a relaxation of 5% shall be allowed to the candidates belonging to SC/ST/OBC (Non-creamy Layer)/Differentially abled categories.

6.3.6 The RET would be followed by a Personal Interview of those candidates who have qualified in RET.

6.3.7 Academic Record of each candidate shall be awarded marks for his/her academic record, which shall be calculated as:

- i. Graduation : 25% of Total Marks
- ii. Post-Graduation : 40% of Total Marks
- iii. Published Paper in referred Journals : 05 Marks
- iv. National/International Seminar/Conference : 05 Marks
- v. Junior Research Fellowship (JRF) : 05 Marks
- vi. Personal Interview : 20 Marks

6.3.8 The personal interview shall be conducted by a committee consisting of the following members:

- i. Director, Research : Chairman
- ii. Head of the concerned Department : Member
- iii. Supervisors of Concerned Department : Member

The interview/viva-voce to be organised where the candidate discusses their research interest/area through a presentation.

The interview/viva-voce shall also consider the following aspects, viz., whether:

- i. the candidate possesses the competence for the proposed research;
- ii. the research work can be suitably undertaken at the Institution;
- iii. the proposed area of research can contribute to new/additional knowledge.

6.3.9 On the basis of the marks of "Personal Interview" along with marks of "Academic Record" the Merit List shall be prepared.

6.3.10 The Director, Research shall notify the merit list of the selected candidates (under both categories, i.e., NET and RET) on the Institute website.

## **7 ALLOCATION OF RESEARCH SUPERVISOR AND CO SUPERVISOR**

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.: -

- 7.1 Any full-time Professor of the Institution with a Ph.D. and at least five research publications in peer-reviewed/refereed journals or with a minimum of five (5) years of postgraduate teaching experience, and any full-time Associate Professor/Assistant Professor of the Institution with a Ph.D. and at least three research publications in peer-reviewed/refereed journals or with a minimum of five (5) years of postgraduate teaching experience, may be recognized as a Research Supervisor, subject to the provisions of UGC Regulations, 2022 and the approval of the competent authority.
  - 7.2 Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Vice Chancellor may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
  - 7.3 Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
- Every student should be allotted a supervisor within one month of admission.

- 7.4 In case of interdisciplinary/multidisciplinary research work, where DRC concerned feels that the expertise in the Department shall be supplemented from outside, a Co-Supervisor from outside the Department/Centre/University may be appointed. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of Vice-Chancellor.
- 7.5 An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- 7.6 Notwithstanding the provisions of Clause 7.5 above, a Research Supervisor may guide up to two (2) international scholars and one (1) national scholar on a supernumerary basis, over and above the prescribed number of Ph.D. scholars, subject to availability of resources in the concerned Department. Such supernumerary allocation shall be made only at the Department level and strictly on the basis of seniority of the eligible Research Supervisors.
- 7.7 In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- 7.8 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- 7.9 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor and available specialization among the supervisor and research interest of the scholar.
- 7.10 In case a co-supervisor is also appointed, the candidate shall be counted towards the quota of both the supervisor and the co-supervisor.
- 7.11 All research scholars shall be counted within this quota till they submit their theses.

## **8 Appointment of A New Supervisor or Change of Supervisor:**

- 8.1 If the Supervisor of a candidate deceases or ceases to be in the service of the Institute, he/she shall cease to be the Supervisor.
- 8.2 If the supervisor of a candidate is under suspension, he/she shall cease to be the supervisor during the entire period of his/her suspension.
- 8.3 If the supervisor of a candidate proceeds on leave for a period of more than 12 months, or he/she proceeds on leave for a period of less than 12 months, but later extends his/her leave beyond 12 months, then, he/she shall cease to be the supervisor.

However, if the candidate submits the abstract(summary) of the thesis or the thesis itself before the supervisor proceeds on leave, then the existing supervisor shall continue to be the supervisor.

- 8.4 If the Supervisor joins another Institution/University, he/she shall cease to be the Supervisor of the candidate from the date of such relieving. However, where the Supervisor has already supervised the candidate for a minimum period of one and a half (1½) years and is willing to continue, he/she may be permitted to continue as Supervisor, subject to the approval of the Hon'ble Vice-Chancellor on the recommendation of the Research Advisory Committee/Departmental Research Committee. In all other cases, the University may appoint a new Supervisor.

Provided further that, in such cases, the scholar may also opt for change of Supervisor by submitting a written request to the Director (Research), and the new Supervisor shall be appointed with the approval of the Hon'ble Vice-Chancellor.

## **9 Course Work**

- 9.1 A candidate, admitted to the Ph. D. programme in any of the department, shall be required to undertake course work for a minimum period of one semester and shall have to secure aggregate of 55% marks along with 40% marks in each paper to qualify in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.
- 9.2 The course work shall include a course on research methodology which may contain quantitative methods, research ethics and review of published research in the relevant field, training, field work, and computer applications etc. and one other course shall be advanced level courses preparing the students for Ph.D. programme of studies.

- 9.3 The course work shall consist of two papers (i) Research Methodology and Computer Applications (ii) Advanced Course of concerned subject.
- 9.4 All candidates admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial First or Second semesters/ Year.
- 9.5 A Ph.D. scholar shall be required to maintain a minimum of 75% attendance in the Ph.D. course work. A candidate who does not fulfil the minimum attendance requirement shall not be permitted to appear in the Course Work Examination, except in cases of relaxation permitted by the competent authority in accordance with the applicable rules of the Institute.
- 9.6 The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one Course.
- 9.7 Ph.D. course work shall be a minimum of 12 Credits.
- 9.8 The courses offered for the Ph.D. programme may be lecture, laboratory and self-study courses, and seminars. Each of these courses shall be of post-graduate or doctoral level.
- 9.9 After completing the course work, the candidate shall appear in a comprehensive examination which will be conducted by Controller of Examinations.
- 9.10 The Course Work shall consist of three papers carrying a total of 300 marks, distributed as follows:
- |      |                                          |                         |
|------|------------------------------------------|-------------------------|
| i.   | Research Methodology                     | – 100 Marks (4 Credits) |
| ii.  | Computer Applications and Ethics         | – 100 Marks (4 Credits) |
| iii. | Advanced Course of the Concerned Subject | – 100 Marks (4 Credits) |
- 9.11 The results of the Course Work shall be declared in accordance with the UGC 10-Point Grading Scale (CGPA/Grade Point System) as adopted by the Institute. If a candidate fails in the Course Work examination in the first attempt, he/she will be allowed only one more (final) chance to pass the examination with the next regular batch, after paying the prescribed fee. The period spent in the failed Course Work attempt will not be counted towards the minimum residency/studentship period. Only one semester (six months) will be counted towards the residency period after the candidate successfully completes the Course Work.
- 9.12 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department/Institute from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department/Institute.

## **10 RESEARCH COMMITTEES**

10.1 Subject to the general superintendence of the Academic Council, the following Committees shall deal with all matters connected with the Ph.D. programme of the Institute in accordance with these regulations:

1. Research Advisory Committee (RAC)
2. Departmental Research Committee (DRC)

10.1.1 There shall be the Research Advisory Committee (RAC) consisting of the following:

- |                                                                 |             |
|-----------------------------------------------------------------|-------------|
| i. Vice Chancellor                                              | - Chairman  |
| ii. Heads of the Departments                                    | - Members   |
| iii. Concerned Supervisors (if matter of their students in RAC) | - Members   |
| iv. Any special Invitees                                        | - Members   |
| v. Director Research                                            | - Secretary |

### **10.1.1.1 Functions of RAC shall be the following:**

- To consider, discuss, and approve research-related matters of the Institute.
- To make suitable recommendations to the Academic Council.
- To ensure proper implementation of the Ph.D. Regulations and related regulations of the Institute.
- To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- To supervise and monitor the overall research activities of the Institute.
- To consider the recommendations of the RAC, including cases of cancellation of registration due to unsatisfactory progress of a research scholar.

### **10.1.2 There shall be a DRC for and Ph.D. scholar consisting of the following:**

- |                                 |            |
|---------------------------------|------------|
| i. Concerned Head of Department | - Chairman |
| ii. Co-supervisor               | - Member   |
| iii. One Nominee of RAC         | - Member   |

- iv. One Expert from outside of the department - Member
- v. Concerned Supervisor - Convener

10.1.2.1 Experts mentioned in Clauses 10.1.2 (iv) above shall be nominated by the concerned Head of Department and approved by the Vice Chancellor.

**10.1.2.2 Functions of DRC shall be the followings:**

1. To review the research proposal and finalize the topic of research;
2. To periodically review and assist in the progress of the research work of the research scholar.
3. To make recommendations for co-supervisor with specific reason.
4. To approve and recommend the synopsis of Ph.D. Scholars for registration.
5. To recommend for registration to Research Section.
6. To identify and propose innovative research with contemporary scenario for research.

10.1.2.2.1 A research scholar shall appear before the Departmental Research Committee (DRC) once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the Departmental Research Committee (DRC) to the Institution with a copy to the research scholar.

10.1.2.2.2 In case the progress of the research scholar is unsatisfactory, the Departmental Research Committee (DRC) shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Departmental Research Committee (DRC) may recommend to the Research Advisory Committee (RAC) with specific reasons for cancellation of the registration of the research scholar.

10.1.3 The Departmental Research Committee (DRC) shall not make any recommendation that is not in conformity with these regulations and/or such other directives as may be issued by the Research Advisory Committee (RAC) and/or the Academic Council in regard to the Ph.D. programme from time to time.

## **11 REGISTRATION**

- 11.1** On successful completion of the course work the candidate shall submit his/her application along with the approved synopsis by Departmental Research Committee (DRC) as per the format given as **Annexure-B1 & Annexure-B2** through proper channel to research section for the evaluation by the external expert. However, the synopsis shall be submitted within six months after declaration of Course Work result, failing which the admission shall automatically stand cancelled. In this case the matter will not be accepted by any committee of regulations.
- 11.2** An external expert will then examine the synopsis after review, the synopsis may be either accepted in full or accepted with certain modification or summarily rejected. In case of rejection of synopsis expert will give specific reasons/comments for rejection.
- 11.3** The candidate and his supervisor along with concerned head have to ensure that all suggestions made by the Expert have been incorporated in final synopsis before presenting in Departmental Research Committee (DRC) along with the faculty members
- 11.4 In case, the Departmental Research Committee (DRC) finds that the synopsis is not up to the mark as suggestions given by expert, it shall make specific suggestions for improving the synopsis. The candidate, after making the necessary improvements shall re-submit his/ her synopsis for approval. The revised synopsis is to be submitted within a week from the date of the Departmental Research Committee (DRC) meeting. The Head/Chairman of the Departmental Research Committee (DRC) have to ensure that all the suggestions made by the Departmental Research Committee (DRC) have been incorporated in the final synopsis.
- 11.5 In case of rejection of the synopsis, Departmental Research Committee (DRC) may examine reasons/comments for the rejection and can recommend to re-submit a fresh synopsis after six months of duration.
- 11.6 If the candidate fails to submit the synopsis at the end of time given or the research plan proposal and/or the research plan proposal seminar, the candidate's admission shall stand cancelled.
- 11.7 All proposals approved by the Departmental Research Committee (DRC) of various departments shall be forwarded to the Director, Research with in

one week who shall place the same before the Research Advisory Committee (RAC) for final approval for registration as a Ph.D. scholar of the Institute. The candidate would be required to submit the fee for Ph.D. work as clause 18 of these regulations.

- 11.8 Further, a final copy of the synopsis with experts' comments needs be to presented within 30 days from the date of receipt of expert's suggestions/comments.
- 11.9 The prescribed registration fee shall be paid within the one month(30 Days) from the date notified by the Institute, failing which the registration/admission shall be liable to cancellation as per these Regulations.
- 11.10 The date of registration of the candidate will be effective from the date of admission.
- 11.11 The renewal of registration shall be considered on the basis of the satisfactory progress of the research work, submission of the prescribed progress report and recommendations of the concerned Supervisor and Departmental Research Committee (DRC).
- 11.12 Failure to renew the registration within the prescribed period, without prior approval of the competent authority, may result in cancellation of the registration in accordance with Clause 20 of these Regulations.
- 11.13 A Ph.D. scholar seeking transfer to JVBI from another University/Institution shall be required to submit a No Objection Certificate (NOC) from the parent University/Institution, along with the relevant academic and research records, including the admission/registration details, coursework completed, research progress reports, and other documents as prescribed by the Institute.
- 11.14 Such transfer shall be considered only with the approval of the RAC and subject to the applicable UGC Regulations and the Ph.D. Regulations of the Institute. The RAC shall determine the extent of recognition of the coursework, research work and period already completed by the scholar.
- 11.15 The Ph.D. scholar shall submit the pre-submission seminar at least after the thirty months from the date of admission, including the of the Course Work and shall submit the Ph.D. thesis within three months of the pre-submission seminar, subject to the maximum duration prescribed under Clause 3.

## **12 PERFORMANCE EVALUATION**

- 12.1 The Departmental Research Committee (DRC) shall monitor the research progress of each candidate. For this purpose, the candidate shall submit a progress report on a prescribed proforma as **Annexure-G**, at the end of each semester to the DRC through his/her supervisor and co-supervisor, if any.
- 12.2 The candidate shall present the progress of his/her research work through a seminar and the DRC shall evaluate the progress made by the candidate and submit its recommendations to the concerned Department.
- 12.3 The Head of Department shall forward the progress report with specific recommendations to the Director, Research.
- 12.4 Progress of a candidate in any semester shall be deemed to be unsatisfactory if the candidate is absent for a period more than that specified in Clause 13 of the regulations.
- 12.5 Every Department shall convene a Departmental Research Committee (DRC) meeting at least once every six months or as required to review and discuss matters related to the research progress, performance, and other academic issues of the Ph.D. scholars.
- 12.6 Failure to submit the prescribed Progress Report within the stipulated period shall be treated as unsatisfactory progress. Failure to submit Progress Reports for two consecutive review periods may result in cancellation of Ph.D. registration on the recommendation of the DRC and approval of the RAC, after providing the scholar an opportunity to explain.

## **13 LEAVE AND ATTENDANCE**

- 13.1 A Ph. D. full time scholar shall be eligible to avail of a leave of 30 days in an academic year in addition to the public holidays observed by the Institute in a calendar year. He/she shall be entitled for mid-term and summer vacations. However, he/she is entitled for an additional leave of up to 10 days on medical grounds in an academic year.
- 13.2 The leave shall be granted by the Head of the Department on the recommendation of the supervisor/co-supervisor.
- 13.3 The scholar is entitled, on the recommendation of the research guide, study leave up to a maximum of 30 days for academic session for attending

seminars, conferences, workshops or presenting paper etc. No allowances would be paid by the Institute for such travel.

- 13.4 On completion of the study leave the Scholar will have to submit a certificate of attendance/participation to this effect.
- 13.5 The women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.
- 13.6 In case of empirical research or community/field level studies or to consult libraries the leaves of absence from headquarter would be treated as on duty subject to the maximum of 6 months per annum.
- 13.7 A candidate is required to sign on all working days in an attendance register to be kept in the concerned Department except when he/she is on duty/sanctioned leave as per the Clause 13.1, 13.2, 13.3 of the regulations. All the leaves shall be applicable for full-time/JRF PhD candidates.
- 13.8 For JRF candidate leave rules shall be applicable as per UGC guidelines.

#### **14 SUBMISSION OF THESIS**

- 14.1 Upon satisfactory completion of the research work for the Ph.D., candidate shall produce a draft dissertation/thesis within time as stipulated under clause 3 of these regulations.
- 14.2 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation before the Departmental Research Committee (DRC) of the department concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Departmental Research Committee (DRC).
- 14.3 If the DRC is satisfied with the pre-submission seminar of the candidate, the Head of the department shall forward the application of the candidate through supervisor for submission of the thesis along with a certificate about the satisfactory completion of the pre-submission seminar. The scheme of colour will be as **Annexure-J**.
- 14.4 The candidate is required to submit the thesis within three months from the date of his/her pre-submission seminar (without exceeding the maximum time limit for the submission of the thesis as laid down in Clause 3), failing which he/she shall be required to deliver a fresh pre submission seminar.

- 14.5 The Academic Council shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 14.6 The candidate shall submit the thesis to the Director, Research, duly forwarded by the Supervisor and Head of the Department. Before submission of the Ph.D. thesis, the research scholar shall clear all prescribed fees and other outstanding dues payable to the Institute and shall submit the No-Dues Certificate in the prescribed format (**Annexure-L**) along with the thesis submission documents. While submitting the thesis, the candidate shall submit the following:
1. Five hard copies and two soft copies (in a Pen-Drive in PDF format) of the abstract of the thesis written in about 6000 words describing the salient features of his/her investigation. Further, the cover page of the Thesis should be as per **Annexure-I**.
  2. Five hard copies and two soft copies (in a Pen-Drive in PDF format) of the thesis in Hindi (Mangal/Kokila) or English (Times New Roman) or any other language approved by the concerned RDC as per the format given in **Annexure-D**. (Checklist for the PhD Thesis)
  3. A declaration by the candidate and Supervisor/Co-supervisor as per the format given in **Annexure-C1 & C2**.
  4. A pre-submission seminar completion certificate, wherever applicable, by the Head of the Department as per the format given in Annexure-K.
- 14.7 The candidate shall also submit one copy each of the thesis and the abstract to the supervisor, co-supervisor, as the case may be.
- 14.8 The candidate may submit the copies of the abstract one month before the submission of the thesis in order to expedite the process of evaluation.
- 14.9 Every Ph.D. thesis shall be prepared and submitted in accordance with the Instructions for Preparation and Submission of Ph.D. Thesis prescribed in **Annexure-J**, covering the requirements relating to formatting, paper size,

font, spacing, margins, diagrams, photographs, references, pagination, binding and electronic submission; any deviation from the prescribed format shall require prior approval of the competent authority.

## **15 EVALUATION OF THE THESIS**

- 15.1 The Ph.D. thesis submitted by a research scholar shall be evaluated by three external examiners, who are not in employment of the Institution. The *viva-voce* examination shall be conducted by one of the three examiners, based among other things, on the critiques given in the evaluation reports, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, Institute research scholars and other interested experts/researchers.
- 15.2 The Head of Department and concerned supervisor shall recommend a panel of examiners of at least six experts in the area of the Ph. D. thesis separately.
- 15.3 In case the supervisor happens to be the concerned Head of the Department a panel consisting of 10 experts must be provided. The expert must be at least Associate Professor.
- 15.4 In the case of a research scholar who has done any part of his/her work in another institution, the panel of examiners shall not include any person working in that institution.
- 15.5 Complete and current addresses of all the examiners with their e-mail addresses, and telephone numbers shall be provided by Head of Department/ Supervisor.
- 15.6 On receipt of the panel of the examiners, the Vice-Chancellor will appoint the Board of Examiners from the panel. The Board of Examiners shall consist of three members, one or all from outside the state.
- 15.7 The Vice Chancellor's office shall get in touch with each examiner with a copy of the abstract to secure acceptance of the examinership. For this purpose, if e-mail address of the examiner is available, he/she shall be contacted through e-mail to get his/her consent at the earliest. If however, no information is received from an examiner within four week period from first communication to the examiner, his/her appointment shall be cancelled and a new examiner shall be appointed from the existing panel of examiners in accordance with the Clauses 15.6 of 15 of these regulations.
- 15.8 On receipt of the acceptance from any examiner, the Vice Chancellor's office shall forward the copy of the thesis to him/her and take necessary action to get the report of the examiner expeditiously.
- 15.9 The examiners shall be requested to submit their individual reports within one months of the receipt of the thesis.

- 15.10 In case, an examiner does not send his/her report within the above period, a reminder shall be sent to him/her. This shall be followed by a subsequent reminder after a fortnight.
- 15.11 In the event of the report not being received from the examiner within 10 weeks, his/her examinership shall be cancelled and a new examiner shall be appointed, from the existing panel of examiners, as per the Clauses 15.6 of 15 of these regulations.
- 15.12 The examiners shall examine the thesis specifically with a view to judge whether the thesis is a piece of research work characterized by:
- i. discovery of new facts, or
  - ii. a fresh approach towards interpretation of facts or theories, or
  - iii. a distinct advancement in the subject.
- 15.13 The examiner shall be required to give his/her opinion about candidate's ability for critical examination and sound judgment.
- 15.14 The examiners shall submit the report on a prescribed form as given in **Annexure–E**, and shall make one of the following recommendations:
- i. The thesis be accepted for the award of the Ph. D. degree.
  - ii. The thesis be accepted for the award of the Ph. D. degree subject to the candidate giving satisfactory answers, at the time of Viva-Voce, to the specific queries raised in the report.
  - iii. The thesis, in the present form, cannot be accepted for the award of the Ph. D. degree, and the candidate be advised to revise the thesis on certain issues raised in the report and resubmit the thesis. In the case of resubmission, the examiner shall specifically mention whether the thesis must be referred back to him/her for re-evaluation or not.
  - iv. The thesis be rejected.
- 15.15 If all the examiners recommend acceptance of the thesis for the award of the Ph. D. degree, the thesis shall be accepted.
- 15.16 If minimum two examiners recommend rejection of the thesis for the award of the Ph. D. degree, the thesis shall be rejected.
- 15.17 If the examiner(s) raise some queries/seek clarifications, the candidate shall be required to give satisfactory answers to the queries at the time of Viva-Voce.
- 15.18 If one/two or all the examiners recommend revision of the thesis, the candidate shall resubmit the thesis after revision. If a specific examiner asks for the revised thesis to be referred back to him/her, it shall be sent to him/her. Otherwise, the revised thesis shall be assessed by the DRC/RAC for satisfactory compliance of the desired revision.

- 15.19 If the revised thesis is to be referred back to an examiner, the examiner shall submit his/her report on a prescribed form as given in **Annexure–F**. The examiner shall recommend the revised thesis to be either accepted or rejected. Then depending on the recommendation of the other examiner, an appropriate action shall be taken as per Clauses 15.16, 15.17, 15.18 and 15.20 of 15 of these regulations.
- 15.20 If one examiner recommend rejection and other two examiners recommend acceptance then a fourth examiner shall be appointed as per Clause 15.6 from the panel of already approved examiners. In such cases, Clause 15.22 of 15 of these regulations shall apply.
- 15.21 The fourth examiner, if appointed, depending on whether the thesis was rejected by an examiner in the first instance. Once the fourth examiner is appointed, any previous recommendations of the examiner, in whose place the third examiner has been appointed, shall become null and void for all purposes.
- 15.22 In case the fourth examiner recommends acceptance or revision of the thesis or asks for clarifications, then depending on the recommendation of the other examiner (who has not rejected the thesis) an action appropriate to the case mentioned in Clauses 15.18 or 15.19 of 15 of these regulations shall be taken.
- 15.23 In case the fourth examiner recommends the rejection of the thesis, his/her recommendation shall be final and the thesis shall be rejected.
- 15.24 In the case of any ambiguous recommendations by any examiner, the Vice Chancellor's office shall approach the examiner concerned for a clear recommendation. If a clear recommendation is not forth coming the matter shall be referred to the Vice-Chancellor for his/her decision.
- 15.25 If the thesis has been accepted for the award of the degree, the candidate shall be required to defend his/her thesis in a open Viva-Voce. The date, time and venue of the Viva-Voce with other necessary details shall be adequately notified so as to enable faculty members and students of department to attend it.
- 15.26 The Vice Chancellor' office shall arrange for the Viva-Voce of the candidate as early as possible and normally within one month from the date of receipt of Report.
- 15.27 The open viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the *viva-voce* examination. If the evaluation report of the external examiner in case of Ph.D. thesis, is unsatisfactory, the Institution shall send the dissertation/thesis to another external examiner out of the approved panel of examiners and the *viva-voce* examination shall be held only if the report of the

latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- 15.28 The external examiner shall submit a comprehensive report on the performance of the candidate at the Viva-Voce, including the discussions over various points raised. The external examiner shall recommend one of the following:
- i. that the degree be awarded
  - ii. that the candidate be re-examined in a second Viva-Voce,
  - iii. that the degree be not awarded and the thesis be rejected.
- 15.29 If the external examiner recommends that the degree be awarded after specific modifications or corrections, the candidate shall submit two hardbound copies of the thesis incorporating corrections, if any, (along with 2 corresponding soft copy versions of the thesis in Pen-Drives), one for keeping in the Central library of the Institute and the other for the departmental library. The spare copies of the thesis may be returned to the candidate.
- 15.30 If the external examiner recommends for a second Viva-Voce, it shall be conducted normally after a period of one month but within three months from the date of the first Viva-Voce. Provided that, the external examiner in second Viva-Voce, shall be other than the first external examiners from the panel suggested.
- 15.31 In case of rejection of thesis, the Vice-Chancellor shall have the power to constitute an independent three-member review panel to investigate the cause of rejection of thesis. The review panel shall examine the research work and the examiners' report thereon to submit their findings to the Vice Chancellor for future improvements. It may also examine the matter to suggest as to whether the research scholar can further work on the same topic to rebuild his/her initial work and the correct course of action for achieving that and time frame needed for resubmission of his/her thesis without exceeding the total time period prescribed for submission of thesis in these Regulations.
- 15.32 Date of result will be effective from the date of Viva-voce.
- 15.33 The Institute is to be informed when the research work is published and two copies of such publication should be made available to the Institute by the Scholar and prior to the publication of the research work, approval of the same shall be required from institution.

## **16 DEPOSITORY WITH INFLIBNET**

- 16.1 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall

submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions.

- 16.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations.

## **17 FELLOWSHIP**

- 17.1 Only a selected number of scholars, on the need cum merit base, will get a monthly fellowship of Rs. 4000/- and SLET/NET holder will be granted fellowship of Rs. 5000/- only for two years.
- 17.2 Fellowship will be provided to eligible candidates on successful completion of the course work and registration.
- 17.3 Fellowship will be payable on the satisfactory recommendation of DRC and duly forwarded by the Head of Department concerned to Finance officer through Director Research.
- 17.4 The research scholar receiving fellowship cannot accept full-time employment or any part-time employment during the official working hours of the Institute.
- 17.5 In the event of leaving his research work midway or on finding any information submitted untrue or factually incorrect, the fellowship will be stopped and the amount paid so far will have to be refunded to the Institute by the scholar. For it a bond should be submitted by the research scholar.

## **18 FEES PAYABLE**

- 18.1 Fees payable by a candidate admitted to the Ph.D. programme shall be as prescribed by the Institute from time to time. The present fee structure for all categories of the candidates is given in **Annexure-H**.
- 18.2 Full fee, as applicable, shall be payable by the Ph. D. scholars for all the periods for which extension is granted.
- 18.3 The Institute employees and the teachers of the Institute, who have been admitted as research scholars shall pay the fees as per official orders whichever issued time to time.
- 18.4 Fees once paid to the Institute shall not be refunded except the caution money, which shall be refunded to the Ph.D. scholar within one year from the date of award of the Ph.D. degree or cancellation of admission on any ground, subject to the adjustment of any dues payable by him/her. However, if the candidate leaves

the Ph.D. programme or is terminated from the programme within one year of his/her admission, the caution money shall not be refunded.

- 18.5 The fee structure may be revised by the Institute from time to time. Any such revision shall be applicable to all concerned Ph.D. scholars from the effective date as notified by the Institute, irrespective of the date of admission, unless otherwise specified.
- 18.6 Every Ph.D. scholar shall pay the prescribed Annual Renewal Fee for each additional year of extension, from the 4<sup>th</sup> Year onwards up-to 6<sup>th</sup> Year, payable annually until submission of the Ph.D. thesis or cancellation/termination of registration.
- 18.7 Fees shall be due from the date of admission; candidate should deposit the fees payable within the one month from the date of admission. Further, only students those, who have deposited the fees, shall be eligible to sit for the course work. Furthermore, Annual Ph.D. programmes fees shall be deposited within one month from the start of the next session.

## **19 UNFAIR MEANS AND PLAGIARISM**

- 19.1 In case a Ph.D. candidate is found adopting or suspected of adopting unfair means or lifting of other's work and inserting it in his/her work without proper acknowledgement, credit and reference, such penal action shall be taken by the Institute as may be necessary to uphold the sanctity and the integrity of the research work, and the credibility of the Institute.
- 19.2 The Institute Standing Committee appointed by the Academic Council for this purpose shall take *suo-moto* cognizance of all such cases. Such cases may also be reported by any person to the Standing Committee or the Head of the Department/Director Research who will forward the matter to the Standing Committee for its consideration and recommendation.
- 19.3 All theses and research work submitted by Ph.D. scholars shall be subjected to plagiarism verification in accordance with the Jain Vishva Bharati Institute Policy for Promotion of Academic Integrity and Prevention of Plagiarism, 2026, framed in accordance with the UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018, and other applicable UGC/statutory provisions, as amended from time to time.

## **20 CANCELLATION OF ADMISSION**

The admission of a Ph.D. candidate shall be cancelled by the RAC on the recommendation of DRC in any one of the following eventualities:

- 20.1 If two consecutive progress reports are not submitted by candidate are the report of the candidate are unsatisfactory or not submitted by the scholar in stipulated time.
- 20.2 If the candidate is absent for a continuous period of four weeks without prior information/sanction of leave.
- 20.3 If the candidate fails to renew his/her registration within the prescribed period without prior approval of the competent authority.
- 20.4 If the candidate's research plan proposal/research plan proposal seminar is/are not approved even after the submission of revised proposal/revised seminar.
- 20.5 If the candidate fails to submit the thesis within the maximum stipulated time as provided in these regulations.
- 20.6 If the candidate resigns from the Ph. D. programme and the resignation is duly recommended by the Supervisor.
- 20.7 If the candidate is found involved in an act of misconduct and/or indiscipline and his/her termination has been recommended by the competent authority.

## **21 CONCLUSION**

- 21.1 Notwithstanding anything contained in these Regulations all categories of the candidates shall be governed by the rules and procedures framed by the Academic Council, and are in force at that point of time.
- 21.2 From the date when these Regulations come into operation all previous Regulations on the subject shall cease to have effect. Provided that this revocation shall not affect the previous Regulations so revoked or anything done or suffered under any previous Regulations so revoked or affect any right, privilege, obligation or liability acquired, arrived or incurred under any Regulations so revoked.
- 21.3 Interpretation and Unforeseen Matters: Notwithstanding anything contained in these Regulations, in case of any doubt, difference in interpretation, or any unforeseen matter not specifically covered herein, the Vice-Chancellor may take an appropriate decision after obtaining, wherever considered necessary, the advice or opinion of the RAC, Academic Council or any other Committee/individual deemed appropriate. The decision of the Vice-Chancellor shall be final and binding, subject to applicable statutory and regulatory provisions.
- 21.4 The Vice-Chancellor has been authorized to, (a) modify, amend and/or delete any of the clauses given in the Regulations or add any clause(s) to these Regulations, which shall be reported to the Academic Council at its next meeting for approval. (b) order a special procedure for the evaluation of a Ph. D. thesis to protect the work of classified nature involving national security and sovereignty and/or to protect the intellectual property rights of the candidate, the supervisor and the Institute.
- 21.5 Any legal matter is subjected to Ladhun Jurisdictions.

## INDEX OF ANNEXURES

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**Department-wise Ph.D. Admission Eligibility****1. The qualifying examination for admission to the Ph.D. Programme in each Department shall be:**

- Master's Degree in the concerned/relevant subject; or
- Master's Degree in an allied subject approved by the University for the respective Department.

The list of allied subjects approved for each Department is given below.

Candidates shall satisfy the eligibility requirements prescribed under the UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, as amended from time to time, and the Ordinances of the Institute.

| <b>S.No.</b> | <b>Department</b>                                             | <b>Main Subject</b>                                          | <b>Allied Subjects</b>                                                                                                                                                                          |
|--------------|---------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1            | Department of Jainology and Comparative Religion & Philosophy | <b>Jainology &amp; Comparative Religion &amp; Philosophy</b> | Philosophy, Comparative Religion, Religious Studies, Sanskrit, Prakrit, Pali, Buddhist Studies, History, Sociology, Political Science, Psychology, Education, Yoga, Nonviolence & Peace Studies |
|              |                                                               | <b>Philosophy</b>                                            | Jainology & Comparative Religion, Religious Studies, Sanskrit, Prakrit, Pali, Buddhist Studies, History, Sociology, Psychology, Political Science, Education                                    |
| 2            | Department of Prakrit and Sanskrit                            | <b>Prakrit</b>                                               | Sanskrit, Pali, Philosophy, Jainology & Comparative Religion, Linguistics, Hindi, Ancient Indian History, Culture & Archaeology, Buddhist Studies                                               |
|              |                                                               | <b>Sanskrit</b>                                              | Prakrit, Pali, Philosophy, Jainology & Comparative Religion, Vedic Studies, Linguistics, Hindi, Ancient Indian History, Culture & Archaeology, Buddhist Studies                                 |

|   |                                                        |                                       |                                                                                                                                                                                                                            |
|---|--------------------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 3 | Department of<br>Rajasthani Language<br>and Literature | <b>Rajasthani</b>                     | Hindi, Sanskrit, Prakrit, Linguistics,<br>Comparative Literature, Folklore, Translation<br>Studies, History, Cultural Studies                                                                                              |
| 4 | Department of Yoga<br>and Science of Living            | <b>Yoga and Science<br/>of Living</b> | Yoga, Philosophy, Psychology, Education,<br>Physical Education, Ayurveda, Naturopathy,<br>Physiotherapy, Human Consciousness,<br>Sociology, Nonviolence & Peace Studies,<br>Jainology & Comparative Religion               |
| 5 | Department of<br>Nonviolence and<br>Peace              | <b>Nonviolence and<br/>Peace</b>      | Peace & Conflict Studies, Political Science,<br>Philosophy, Sociology, Psychology, History,<br>Public Administration, Law, Human Rights,<br>International Relations, Gandhian Studies,<br>Jainology & Comparative Religion |
|   |                                                        | <b>Political Science</b>              | Public Administration, International<br>Relations, Peace & Conflict Studies,<br>Sociology, History, Philosophy, Economics,<br>Law, Human Rights, Gandhian Studies                                                          |
| 6 | Department of<br>English                               | <b>English</b>                        | Nil                                                                                                                                                                                                                        |
| 7 | Department of<br>Education                             | <b>Education</b>                      | Sanskrit Literature, Value Education,<br>Philosophy of Education, Peace Education,<br>Education for Sustainable Development,<br>Physical Education, Yoga and Health<br>Education, and Education Physiology                 |

**Qualifying Marks:**

At least 55% marks in aggregate or an equivalent grade/CGPA in the qualifying Postgraduate examination.

**MODEL FOR COVER & TITLE PAGE OF Ph.D. SYNOPSIS**

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.....  
.....  
(Title of Research Work)

**A SYNOPSIS**

Submitted in accordance with the provisions of Regulation 2022 of UGC for the  
Award of Ph.D. degree of Jain Vishva Bharati Institute, Ladnun in the Department  
of .....

By

.....  
(Name of Candidate)

Under Supervision of

.....  
(Name & Designation of Supervisor)

(Name of the Institute Department .....)



**JAIN VISHVA BHARATI INSTITUTE**  
**(Deemed-to-be University)**  
**LADNUN – 341306 (Rajasthan)**

Year of Submission .....

**Format for Ph.D. Synopsis / Research Proposal**

**1. Cover Page**

(As prescribed in Annexure B-I)

**2. Particulars of the Candidate**

- Name of the Research Scholar (In English)
- Name of the Research Scholar (In Hindi)
- Department
- Name of the Research Supervisor
- Name of the Co-Supervisor (if any)

**3. Title of the Proposed Research**

- Title (In English)
- Title (In Hindi)

**4. Introduction and Background of the Study**

Brief introduction to the research topic, background, and rationale of the proposed study.

**5. Statement of the Research Problem**

Clearly define the research problem and justify the need for undertaking the study.

**6. Objectives of the Study**

State the broad aim and specific objectives of the proposed research.

**7. Scope and Significance of the Study**

Explain the scope, limitations, originality, and expected contribution of the proposed research.

**8. Review of Literature**

Critical review of the relevant literature highlighting the present status of research.

**9. Research Gap**

Identify the gap(s) in existing literature that the proposed research intends to address.

**10. Hypotheses**

Mention the research questions and/or hypotheses, wherever applicable.

**11. Research Methodology**

**12. Tentative Chapter Scheme**

- Chapter I : Introduction
- Chapter II : Review of Literature
- Chapter III : Research Methodology
- Chapter IV : Analysis and Interpretation
- Chapter V : Findings and Discussion
- Additional chapters (Chapter VI, VII, VIII, etc.) may be included, if necessary

**13. References / Bibliography**

List the books, journals, reports, articles, and other references cited, following a standard citation style.

### **Declaration by the Research Scholar**

I hereby declare that the proposed research work is original and has not been submitted elsewhere for the award of any degree or diploma.

Date: \_\_\_\_\_

Place: \_\_\_\_\_

**Signature of the Research Scholar**

### **Recommendation of the Research Supervisor**

I certify that the proposed research topic and synopsis have been examined and are recommended for consideration by the Research Advisory Committee.

Date: \_\_\_\_\_

**Signature of the Research Supervisor**

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Department: \_\_\_\_\_

**Forwarded by**

**Head of the Department**

(Signature with Seal)

**Director,  
Research & Development Cell**

(Signature)

**ANNEXURE C-1**  
**(See Clause 14.7.3)**

**(Note:** The declaration shall be executed on a **non-judicial stamp paper of ₹100/-** and shall be **duly notarized by a Notary Public.**)

**DECLARATION**

***(Authorship, Originality, Non-Plagiarism and Research Ethics)***

I, **Mr./Ms./Mrs.** ..... , Registration No. .... ,  
Research Scholar of the Department of ..... , **Jain Vishva  
Bharati Institute (Deemed-to-be University), Ladnun**, working under the supervision  
of **Dr./Prof.** ..... (**Research Supervisor**) and **Dr./Prof.**  
..... (**Co-supervisor, if any**), do hereby solemnly declare that:

1. The thesis entitled " ..... " is my original research work carried out under the guidance of my Research Supervisor/Co-supervisor.
2. This thesis has not been submitted, either in whole or in part, to any other University/Institution for the award of any degree, diploma, or any other academic qualification.
3. All sources of information used in this research have been duly acknowledged and cited.
4. The thesis is free from plagiarism and complies with the applicable **UGC Regulations on Academic Integrity and Prevention of Plagiarism** and the relevant rules of the Institute.
5. No data, results, or findings have been fabricated, falsified, or manipulated.
6. I understand that the **Research Supervisor has guided and supervised the research work**, whereas the responsibility for the originality, authenticity, integrity, and contents of the thesis rests solely with me.
7. In the event of any claim relating to plagiarism, copyright infringement, authorship dispute, or research misconduct, I shall be personally responsible and shall abide by the decision of the Institute in accordance with the applicable rules and regulations.

I hereby declare that the above statements are true and correct to the best of my knowledge and belief.

Date: .....

**(Signature of the Candidate)**

Place: .....

Name: .....

**SUPERVISOR'S CERTIFICATE**

This is to certify that **Mr./Ms.** ..... , Registration No. .... , has carried out the research work entitled: " ..... " under my supervision in the Department of ..... , **Jain Vishva Bharati Institute (Deemed-to-be University), Ladnun**, for the award of the **Degree of Doctor of Philosophy (Ph.D.)**.

I certify that:

1. The thesis is the **original and bona fide** work of the Research Scholar.
2. The research work was carried out under my supervision and guidance.
3. The thesis has not been submitted elsewhere for the award of any Degree or Diploma.
4. The thesis complies with the applicable **UGC Regulations** and the Institute's rules, including the provisions relating to academic integrity and plagiarism.
5. I recommend the thesis for evaluation for the award of the **Ph.D. Degree**.

Date: .....

Place: .....

.....

Signature: .....  
(Supervisor)

**Name:**

**Designation:**

.....

**Department:**

.....

### **Submission Checklist for the Ph.D. Thesis**

The Ph.D. thesis shall be prepared in the following sequence and shall conform to the format prescribed by the Institute. The thesis shall be arranged in the order given below:

1. Cover Page (As Annexure-I)
2. Inner Cover Page
3. Supervisor's Certificate (Annexure-C2)
4. Undertaking from the Research Scholar
5. Declaration by the Research Scholar (Self-Declaration) (Annexure-C1)
6. Plagiarism Compliance Certificate
7. Copyright Certificate (Annexure-F1)
8. Copyright Transfer Certificate (Annexure-F2)
9. Pre-submission Seminar Completion Certificate (Annexure-K)
10. Acknowledgements
11. Preface (Optional)
12. Abstract
13. Table of Contents
14. List of Tables
15. List of Figures
16. List of Abbreviations
17. Chapters of the Thesis
18. References/Bibliography
19. Appendices/Annexures
20. List of Publications (if any)
21. Conference Presentations (if any)
22. Curriculum Vitae/Bio-data of the Research Scholar (if prescribed by the Institute)
23. No Dues Certificate. (Annexure-L)

**Jain Vishva Bharati Institute (Deemed-to-be University)**  
**Ladnun-341306 (Rajasthan)**

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**Examiner's Report on a Ph.D. Thesis**

**Name of Candidate** : .....

**Title of the Thesis** : .....

**Research Supervisor** : .....

**Registration No. & Date** : .....

**Department** : .....

**Name of examiner with full postal address:**

**The examiner is requested to send his/her detailed report/recommendations on the following points:**

1. Whether the thesis evinces candidate's capacity for critical analysis/examination and judgment so far as its literary presentation is concerned?
2. Are there typographic errors/minor correction? If so, please point out in the report as well as in the body of the thesis.
3. Comments on Research Methodology in terms of:  
Contents, Footnote, Endnote, References, Bibliography, Appendix, Objectives, Hypothesis, Data presentation and analysis: Interpretation of the Results; Any other point
4. Enclose a list of questions (5-10) to be asked in Viva-Voce.
5. Do you consider the thesis substantially suitable for publication?
6. Your recommendation for:
  - (i) The award of degree
  - (ii) The revision of thesis
  - (iii) The rejection of thesis(In case you recommend rejection, kindly indicate the grounds for rejection, or if you recommend revision, mention in your report the lines on which revision has to be made and also specify the same in the body of the thesis.)

# THESIS REPORT

**NAME OF THE CANDIDATE:** .....

**TITLE OF THESIS:** .....

**(Use separate sheets if required for your report.)**

**Date:**

**Signature & Name of Examiner**

### CERTIFICATE OF COPYRIGHT

I, **Mr./Ms.** ....., Registration No. ....  
 ....., Research Scholar in the Department of .....  
 ....., hereby declare that the copyright of the thesis  
 entitled:

".....  
 ..."

submitted to **Jain Vishva Bharati Institute (Deemed-to-be University),  
 Ladnun, Rajasthan**, in partial fulfilment of the requirements for the award of the  
 Degree of **Doctor of Philosophy (Ph.D.)**, shall vest exclusively with **Jain Vishva  
 Bharati Institute (Deemed-to-be University)** in accordance with the provisions  
 of the University Regulations and applicable copyright laws.

I further undertake that I shall not publish, reproduce, distribute, or  
 commercially exploit the thesis, in whole or in part, without the prior written  
 permission of the University, except as permitted under applicable law.

**Place:** .....

**Date:** .....

**Signature of the Research Scholar**

Name: .....

Registration No.: .....

## COPYRIGHT TRANSFER CERTIFICATE

I, **Mr./Ms.** \_\_\_\_\_, Registration No. \_\_\_\_\_, Research Scholar in the Department of \_\_\_\_\_, hereby declare that the copyright of my Ph.D. thesis entitled:

"\_\_\_\_\_"

submitted to **Jain Vishva Bharati Institute (Deemed-to-be University), Ladnun, Rajasthan**, is hereby transferred and assigned to the **Jain Vishva Bharati Institute (Deemed-to-be University)**. The University shall have the right to reproduce, publish, archive, digitize, and disseminate the thesis for academic and research purposes in accordance with the applicable laws and the University Regulations.

I further declare that the thesis is my original work and does not violate the copyright or intellectual property rights of any person or organization.

**Place:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Signature of the Research Scholar**

Name: \_\_\_\_\_

Registration No.: \_\_\_\_\_

\_\_\_\_\_

**HALF-YEARLY PROGRESS REPORT OF THE Ph.D. RESEARCH WORK**

**General Instructions**

1. Every Research Scholar shall submit a **Half-Yearly Progress Report** through the Research Supervisor(s) to the **Director, Research** in the prescribed format.
2. The report shall cover the research work carried out during the reporting period and shall ordinarily be **300–500 words**.
3. The report shall be **signed by the Research Scholar, certified by the Research Supervisor/Co-supervisor (if any), and forwarded by the Head of the Department**.
4. Documentary evidence, wherever applicable, shall be enclosed.
5. Unsatisfactory progress may invite appropriate action as per the Ordinances/Regulations of the Institute.

**PART–A: Particulars of the Research Scholar**

1. **Name of the Research Scholar:**  
\_\_\_\_\_
2. **Registration No. & Date:**  
\_\_\_\_\_
3. **Department:** \_\_\_\_\_
4. **Title of the Research Work:**  
\_\_\_\_\_
5. **Reporting Period:** From \_\_\_\_\_ To \_\_\_\_\_
6. **Research Supervisor:** \_\_\_\_\_
7. **Co-supervisor (if any):**  
\_\_\_\_\_

**PART–B: Progress of Research Work**

1. **Research work completed during the reporting period (approximately 300 words):**  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
2. **Literature Reviewed:**  
\_\_\_\_\_
3. **Data Collection / Analysis (if applicable):**  
\_\_\_\_\_
4. **Chapter(s) Completed / Drafted:**  
\_\_\_\_\_
5. **Research Papers (Published/Accepted/Communicated/Presented, if any):**  
\_\_\_\_\_  
\_\_\_\_\_

6. **Seminars/Conferences/Workshops Attended (if any):**

7. **Work Proposed for the Next Six Months:**

8. **Research Fee Paid:** Yes / No

Receipt No.: \_\_\_\_\_ Date: \_\_\_\_\_

Declaration by the Research Scholar

I certify that the information furnished above is true and correct to the best of my knowledge and belief.

**Date:** \_\_\_\_\_

**Place:** \_\_\_\_\_

**Signature of the Research Scholar**

PART–C: Remarks of the Research Supervisor

1. **Progress of Research:**

☐ Satisfactory    ☐ Not Satisfactory

2. **Remarks/Suggestions:**

3. **Recommendation:**

- ☐ Recommended to continue the research work.  
☐ Recommended with suggestions for improvement.  
☐ Not recommended.

**Date:** \_\_\_\_\_

**Signature of the Research Supervisor**

Name: \_\_\_\_\_

Forwarded by

**Head of the Department**

Signature: \_\_\_\_\_

Director, Research

Remarks: \_\_\_\_\_

Signature: \_\_\_\_\_

**ANNEXURE–H****(See Clause 18.1)**

**Research and Development Cell**  
**Jain Vishva Bharati Institute (Deemed-to-be University), LADNUN-341306,**  
**Rajasthan**  
**Fee Structure for Ph.D. Programme**

| <b>JAINOLOGY   PRAKRIT   SANSKRIT   RAJASTHANI   NONVIOLENCE &amp; PEACE</b> |                                 |                |                |                 |                |                |                                           |
|------------------------------------------------------------------------------|---------------------------------|----------------|----------------|-----------------|----------------|----------------|-------------------------------------------|
| <b>Sr. No.</b>                                                               |                                 | <b>I Year</b>  | <b>II Year</b> | <b>III Year</b> | <b>IV Year</b> | <b>V Year</b>  | <b>Submission/ Plagiarism/ Degree Fee</b> |
| 1                                                                            | Admission Form fee              | 3000/-         | –              | –               | –              | –              | –                                         |
| 2                                                                            | Course Work and Examination Fee | 5000/-         | –              | –               | –              | –              | –                                         |
| 3                                                                            | Registration Fee                | 10000/-        | –              | –               | –              | –              | –                                         |
| 4                                                                            | Library Security Fee            | 2500/-         | –              | –               | –              | –              | –                                         |
| 5                                                                            | Library Fee p.a.                | 2500/-         | 2500/-         | 2500/-          | 2500/-         | 2500/-         | –                                         |
| 6                                                                            | Tuition Fee I Year              | 32500/-        | 32500/-        | 32500/-         | 32500/-        | 32500/-        | –                                         |
| 7                                                                            | Thesis Submission Fee           | –              | –              | –               | –              | –              | 20000/-                                   |
| 8                                                                            | Plagiarism                      | –              | –              | –               | –              | –              | 1000/-                                    |
| 9                                                                            | Degree Fee                      | –              | –              | –               | –              | –              | 500/-                                     |
|                                                                              | <b>TOTAL</b>                    | <b>55500/-</b> | <b>35000/-</b> | <b>35000/-</b>  | <b>35000/-</b> | <b>35000/-</b> | <b>21500/-</b>                            |
| <b>YOGA AND SCIENCE OF LIVING   POLITICAL SCIENCE</b>                        |                                 |                |                |                 |                |                |                                           |
| <b>Sr. No.</b>                                                               |                                 | <b>I Year</b>  | <b>II Year</b> | <b>III Year</b> | <b>IV Year</b> | <b>V Year</b>  | <b>Submission/ Plagiarism/ Degree Fee</b> |
| 1                                                                            | Admission Form fee              | 3000/-         | –              | –               | –              | –              | –                                         |
| 2                                                                            | Course Work and Examination Fee | 5000/-         | –              | –               | –              | –              | –                                         |
| 3                                                                            | Registration Fee                | 10000/-        | –              | –               | –              | –              | –                                         |
| 4                                                                            | Library Security Fee            | 2500/-         | –              | –               | –              | –              | –                                         |
| 6                                                                            | Tuition Fee I Year              | 45000/-        | 45000/-        | 45000/-         | 45000/-        | 45000/-        | –                                         |
| 7                                                                            | Thesis Submission Fee           |                |                |                 |                |                | <b>20000/-</b>                            |
| 8                                                                            | Plagiarism                      |                |                |                 |                |                | <b>1000/-</b>                             |
| 9                                                                            | Degree Fee                      |                |                |                 |                |                | <b>500/-</b>                              |
|                                                                              | <b>TOTAL</b>                    | <b>68000/-</b> | <b>47500/-</b> | <b>47500/-</b>  | <b>47500/-</b> | <b>47500/-</b> | <b>21500/-</b>                            |

| EDUCATION   ENGLISH |                                 |                |                |                |                |                |                                    |
|---------------------|---------------------------------|----------------|----------------|----------------|----------------|----------------|------------------------------------|
| Sr. No.             |                                 | I Year         | II Year        | III Year       | IV Year        | V Year         | Submission/ Plagiarism/ Degree Fee |
| 1                   | Admission Form fee              | 3000/-         | –              | –              | –              | –              | –                                  |
| 2                   | Course Work and Examination Fee | 5000/-         | –              | –              | –              | –              | –                                  |
| 3                   | Registration Fee                | 10000/-        | –              | –              | –              | –              | –                                  |
| 4                   | Library Security Fee            | 2500/-         | –              | –              | –              | –              | –                                  |
| 5                   | Library Fee p.a.                | 2500/-         | 2500/-         | 2500/-         | 2500/-         | 2500/-         | -                                  |
| 6                   | Tuition Fee I Year              | 57500/-        | 57500/-        | 57500/-        | 57500/-        | 57500/-        | -                                  |
| 7                   | Thesis Submission Fee           | -              | -              | -              | -              | -              | <b>20000/-</b>                     |
| 8                   | Plagiarism                      | -              | -              | -              | -              | -              | <b>1000/-</b>                      |
| 9                   | Degree Fee                      | -              | -              | -              | -              | -              | <b>500/-</b>                       |
|                     | <b>TOTAL</b>                    | <b>80500/-</b> | <b>60000/-</b> | <b>60000/-</b> | <b>60000/-</b> | <b>60000/-</b> | <b>21500/-</b>                     |

**Note: 1. The minimum duration for a Ph.D. programme is 3 years.**

**2. Ph.D. Registration renewal fee for the fifth and sixth years: Rs. 1000/-p.a.**

**3. Prescribed Fee Structure for the D.Litt. Programme:**

**A. The following fee structure is hereby prescribed for the Doctor of Literature (D.Litt.) Programme:**

The Registration Fee, Thesis Submission Fee and Library Fee shall remain unchanged at ₹15,000/- and ₹25,000/-, ₹2,500/- respectively.

**B. The Annual Tuition Fee shall be as follows:**

- ₹45,000/- per annum for the Departments of: Jainology and Comparative Religion & Philosophy, Prakrit and Sanskrit, Nonviolence and Peace, and Rajasthani Language and Literature
- ₹60,000/- per annum for the Departments of Yoga and Science of Living, and Political Science
- ₹70,000/- per annum for the Departments of Education, and English.

**MODEL FOR COVER PAGE OF THE Ph.D. THESIS**

TITLE OF THE THESIS

*(in CAPITAL LETTERS, Bold, Centre Aligned)*

A THESIS

Submitted to

**Jain Vishva Bharati Institute (Deemed-to-be University)**

Ladnun – 341306, Rajasthan

**In Partial Fulfilment of the Requirements for the Award of the Degree of**

**DOCTOR OF PHILOSOPHY (Ph.D.)**

**In the Department of .....**

**In accordance with the provisions of the**

**UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022**

**and the Ordinances/Regulations of Jain Vishva Bharati Institute**

Submitted by

**Name of the Research Scholar**

**Registration No.:** .....

Under the Supervision of

**Dr./Prof.** .....

**Designation:** .....

**Department of** .....



**JAIN VISHVA BHARATI INSTITUTE**

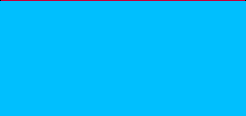
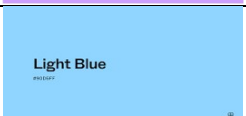
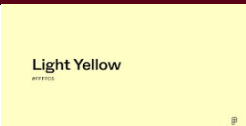
***(Deemed-to-be University)***

**LADNUN – 341306, RAJASTHAN, INDIA**

Month & Year of Submission

.....

**ANNEXURE-J****(See Clause 14.3)****Faculty/Department-wise Colour Code for Ph.D. and D.Litt. Theses**

| Sl. No. | Department                                      | Cover Colour     | Colour Shade Sample                                                                   |
|---------|-------------------------------------------------|------------------|---------------------------------------------------------------------------------------|
| 1.      | Jainology and Comparative Religion & Philosophy | Light Pink       |    |
| 2.      | Prakrit and Sanskrit                            | Rose Red         |    |
| 3.      | Rajasthani Language and Literature              | Deep Sky Blue    |    |
| 4.      | Nonviolence and Peace, Political Science        | Very Light Green |    |
| 5.      | English                                         | Light Purple     |    |
| 6.      | Yoga and Science of Living                      | Light Blue       |   |
| 7.      | Education                                       | Deep Crimson     |  |
| 8.      | Commerce                                        | Light Yellow     |  |

**Guidelines for the Preparation and Format of Ph.D. Synopsis and Thesis:**

| Sl. No. | Particulars         | Hindi Medium                          |        | English Medium                    |
|---------|---------------------|---------------------------------------|--------|-----------------------------------|
| 1.      | Unicode Font        | Mangal                                | Kokila | Times New Roman / Calibri / Arial |
| 2.      | Font Size           |                                       |        |                                   |
|         | Thesis Matter       | 12                                    | 16     | 12                                |
|         | Heading             | 16                                    | 20     | 16                                |
|         | Sub-Heading         | 14                                    | 18     | 14                                |
|         | Chapter             | 18                                    | 22     | 18                                |
|         | Footnote, Reference | 10                                    | 14     | 10                                |
|         | Bibliography        | 12                                    | 14     | 12                                |
| 3.      | Spacing             | 1.5                                   | 1.5    | 1.5                               |
| 4.      | Margin              | Left: 1.5", Top, Bottom and Right: 1" |        |                                   |

**Note:**

1. This certificate shall be issued by the Head of the Department.
2. The research scholar shall have submitted all prescribed Half-Yearly Progress Reports and deposited all prescribed Ph.D. fees before the Pre-submission Seminar.

**PRE-SUBMISSION SEMINAR COMPLETION CERTIFICATE**

---

**JAIN VISHVA BHARATI INSTITUTE**

(Deemed-to-be University)

Ladnun – 341306, Rajasthan

**Department of** \_\_\_\_\_

**PRE-SUBMISSION SEMINAR COMPLETION CERTIFICATE**

This is to certify that Mr./Ms./Mrs. \_\_\_\_\_,  
Research Scholar registered in the Department of \_\_\_\_\_,  
has successfully delivered the Pre-Submission Seminar on \_\_\_\_\_ before the  
Research Advisory Committee (RAC)/DRC & All Departmental Faculty.

The seminar was based on his/her Ph.D. research work entitled:  
"\_\_\_\_\_"

The Research Advisory Committee/DRC & All Departmental Faculty has  
carefully reviewed the presentation, research findings, and responses to the queries  
raised during the seminar.

Based on the recommendations of the Committee, it is certified that the  
research scholar has successfully completed the Pre-Submission Seminar as required  
under the Ph.D. Regulations of the Institute.

Place: \_\_\_\_\_

Date: \_\_\_\_\_

(                      )  
Head of the Department  
Department Seal

**JAIN VISHVA BHARATI INSTITUTE, LADNUN**  
**(Application form for Refund of Hostel/Library Security)**

|                                               |   |  |
|-----------------------------------------------|---|--|
| Name of Applicant                             | : |  |
| Class                                         | : |  |
| Department                                    | : |  |
| Enrolment/Registration No.                    | : |  |
| Research Topic (for Research Scholar)         | : |  |
| Reference of Deposit: Library/Hostel Security | : |  |
| Reason for Refund of Security Deposit         | : |  |
| Recommendation of Head of Department          | : |  |

**No Dues From:**

| Section                          | Signature                    |
|----------------------------------|------------------------------|
| Store-Keeper                     |                              |
| Sports In charge                 |                              |
| Central Library                  |                              |
| Departmental Library             |                              |
| Hostel Warden                    |                              |
| Account Section/Research Section |                              |
| <b>Date:</b>                     | <b>Signature of Student:</b> |

**Student Bank Account Details:**

|                               |  |
|-------------------------------|--|
| Name of Account Holder        |  |
| Aadhar Number                 |  |
| Mobile Number                 |  |
| Bank Account No.              |  |
| Bank Name with Branch         |  |
| IFSC Code No.                 |  |
| MICR Code No.                 |  |
| Amount                        |  |
| <b>Signature of Claimant:</b> |  |

**For Office Use Only**

| <b>Passed for Payment/Adjustment</b> |             |                  |             |
|--------------------------------------|-------------|------------------|-------------|
| <b>Amount</b>                        | :           | ₹.....           |             |
| <b>Rs.</b>                           | :           | .....            |             |
| <b>Chargeable Head:</b>              | :           | .....            |             |
| <b>Checked</b>                       | <b>F.O.</b> | <b>Registrar</b> | <b>V.C.</b> |

## **JAIN VISHVA BHARATI INSTITUTE, LADNUN**

### **Student No Dues Certificate (To be submitted in Department)**

|                                                  |   |  |
|--------------------------------------------------|---|--|
| Name of Applicant                                | : |  |
| Class                                            | : |  |
| Department                                       | : |  |
| Enrolment/Registration No.                       | : |  |
| Research Topic (for Research Scholar)            | : |  |
| Reference of Deposit: Library/Hostel<br>Security | : |  |
| Reason for Refund of Security Deposit            | : |  |
| Recommendation of Head of Department             | : |  |

#### **No Dues From:**

| <b>Section</b>                   | <b>Signature</b> |
|----------------------------------|------------------|
| Store-Keeper                     |                  |
| Sports In charge                 |                  |
| Central Library                  |                  |
| Departmental Library             |                  |
| Hostel Warden                    |                  |
| Account Section/Research Section |                  |

**Date:**

**Signature of Student**